199000573

# IAP20 Rec'd PCTIPTO 17 MAY 2006

S/N

Unknown

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

**TANAKA** 

Examiner:

Unknown

Serial No.:

Unknown

Group Art Unit:

Unknown

Filed:

17 May 2006

Docket:

10921.404USWO

Title:

SURFACE-MOUNT SOLID ELECTROLYTIC CAPACITOR

AND PROCESS FOR MANUFACTURING SAME

**CERTIFICATE UNDER 37 CFR 1.10** 

Express Mail mailing label number: EV858802719US

Date of Deposit: 17 MAY 2006

I hereby certify that the papers listed below are being deposited with the United States Postal Service Express Mail Post Office to Addressee service under 37 CFR 1.10 ip an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450,

Alexandria, VA 22313-1450.

By:

Name: Abbie Larkin

## INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(c))

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. §1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

Applicants respectfully bring to the Examiner's attention that four of the references listed on the enclosed Form 1449 were sited in an International Search Report, enclosed herewith.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each foreign document or other information listed on the enclosed Form 1449 is provided. As this application was filed after June 30, 2003, copies of the cited U.S. Patent Documents are not included.

(AP20 Rec'd PCT/PTO 17 MAY 2006

A concise explanation of the relevance of each non-English language document or other information is a follows (37 C.F.R. §(a)(3)):

English abstracts are provided for JP 2002-43175, and JP 2002-175952.

US 2003/0045032 corresponds to JP 2003-78094. US 6,751,086 corresponds to JP 2003-68576.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-3478.

Respectfully submitted,

HAMRE, SCHUMANN, MUELLER &

LARSOŃ, P.C. P.O. Box 2902-0902

Minneapolis, MN 55402-0902

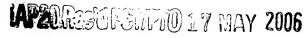
(612) 455-3800<sub>4</sub>

Curtis B. Hamre

Reg. No. 29,165

DPM:CBH:hjm

Dated: 17 May 2006



Date Mailed: 17 May 2006

Sheet 1 of 1

| FO | RM | 1449 |
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### INFORMATION DISCLOSURE STATEMENT

### IN AN APPLICATION

(Use several sheets if necessary)

Docket Number: 10921.404USWO

Application Number: 3573

Applicant: TANAKA

Filing Date: 17 May 2006

Group Art Unit: Unkown

|                     |                | U.S. P         | ATENT DOCUMEN            | ΓS              |                                       |                               |    |
|---------------------|----------------|----------------|--------------------------|-----------------|---------------------------------------|-------------------------------|----|
| EXAMINER<br>INITIAL | DOCUMENT NO.   | DATE           | NAME                     | CLASS           | SUBCLASS                              | FILING DATE<br>IF APPROPRIATE |    |
|                     | 2003/0045032   | 6 March 2003   | ABE                      |                 |                                       |                               |    |
|                     | 6 751 086      | 15 June 2004   | MATSUMOTO                |                 |                                       |                               |    |
|                     |                |                |                          |                 |                                       |                               |    |
|                     |                |                |                          | -               |                                       |                               |    |
|                     |                |                |                          |                 |                                       |                               |    |
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|                     | DOCUMENT NO.   | DATE           | COUNTRY                  | CLASS           | SUBCLASS                              | TRANSLATION                   |    |
|                     |                |                |                          |                 |                                       | YES                           | NC |
|                     | JP 2002-43175  | 8 Feb. 2002    | Japan                    |                 |                                       | Abstract                      |    |
|                     | JP 2002-175952 | 21 June 2002   | Japan                    |                 |                                       | Abstract                      |    |
|                     | JP 2003-78094  | 14 March 2003  | Japan                    |                 |                                       | US<br>2003/0045032            |    |
|                     | JP 2003-68576  | 7 March 2003   | Japan                    |                 |                                       | US 6,751,086                  |    |
|                     | OTHER          | DOCUMENTS (Inc | cluding Author, Title, I | Date, Pertinent | Pages, Etc.)                          |                               |    |
|                     |                |                |                          |                 |                                       |                               |    |
|                     |                |                |                          |                 |                                       |                               |    |
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|-------------------------|--|--|
| PATENT TRADEMARK OFFICE |  |  |

**EXAMINER** 

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.